GRANT AGREEMENT AMENDMENT APPROVAL FORM

To: Air Pollution Control Officer
Staff Contact: Jim Fredrickson
Date: July 20, 2015
Grant Program: Off-Road Equipment Replacement Program (Carl Moyer Program)

GRANT INFORMATION

Grantee: Main Street Produce
Grant Agreement Number: AP141502
Grant Agreement Amount: $100,000
Existing Equipment: 2002 Caterpillar MT765 agricultural tractor w/Tier 1 engine
New Equipment: 2015 Caterpillar 8345R ag tractor w/ Final Tier 4 engine
Grant Cost-Effectiveness: $9,930/ton NOx+ROC+PM_{10}
Program Limit: $17,080/ton NOx+ROC+PM_{10}
Project Life: 3 Years
Project Lifetime Emission Reductions: 7.99 tons NOx+ROC+PM_{10}

PROGRAM INFORMATION

Approved Program Budget: $2,500,000
Encumbered Grant Agreements: $1,886,935 (Including current Grant)
Current Program Balance: $ 613,065
Overall Program Cost-Effectiveness: $13,718/ton NOx+ROC+PM_{10} (Including current Grant)

Approved: [Signature]
Air Pollution Control Officer

Date: July 20, 2015

Grant Agreement AP141502 was originally approved on April 3, 2015. The above summarizes amendments made and approved by the APCO after that date. Please contact the Board Clerk to review this grant agreement and/or amendment.
GRANT AGREEMENT AMENDMENT
between
The Santa Barbara County Air Pollution Control District
and
Main Street Produce [AP141502]

This Grant Agreement Amendment is made this 20th day of July 2015, in order to modify Grant Agreement AP141502, dated April 3, 2015, between the Santa Barbara County Air Pollution Control District, hereinafter referred to as "DISTRICT," and Main Street Produce, hereinafter referred to as “GRANTEE”.

RECITALS

WHEREAS, DISTRICT Board of Directors has delegated authority to the Control Officer to execute certain grant agreements and this Grant Agreement Amendment falls within that delegation authority;

WHEREAS, Condition 23 (Amendment) states that this Grant Agreement may only be amended in writing executed by DISTRICT Board and GRANTEE or, where authorized by the Board, by the Control Officer and GRANTEE;

WHEREAS, GRANTEE has submitted a proposal to replace an existing model year 2002 Caterpillar agricultural tractor model Challenger MT-765 with a Tier 1 diesel engine, with a model year 2015 John Deere agricultural tractor model 8345R with a certified Final Tier 4 diesel engine;

WHEREAS, ATTACHMENT A (SCOPE OF WORK) had incorrect information specifically for the new engine model number, EPA Family Number; and ARB Executive Order Number;

WHEREAS, DISTRICT staff reviewed the corrected engine information and determined that the change will have no impact on the performance of the equipment, the cost effectiveness calculations, or the anticipated emission reductions associated with the equipment replacement project.

NOW, THEREFORE, it is hereby agreed between DISTRICT and GRANTEE as follows:

1. ATTACHMENT A (SCOPE OF WORK) in Grant Agreement AP141502, dated February 3, 2015, is hereby modified as set forth in ATTACHMENT A to the Grant Agreement Amendment.

2. Except as modified herein, Grant Agreement AP141502, dated April 3, 2015, shall remain in full force and effect.
This Grant Agreement Amendment between the Santa Barbara County Air Pollution Control District and Main Street Produce was executed at Santa Barbara, California on the day and year first above written.

ATTEST:

LOUIS D. VAN MULLEM, JR.
CLERK OF THE BOARD

By

Deputy

SANTA BARBARA COUNTY AIR POLLUTION CONTROL DISTRICT,
STATE OF CALIFORNIA

By

Louis D. Van Mullem Jr.
Air Pollution Control Officer

GRANTEE: MAIN STREET PRODUCE

By

Paul Allen
President

Date: 7-10-2015

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By

Deputy County Counsel

APPROVED AS TO FORM:
RAY AROMATORIO, ARM, AIC
RISK MANAGER

By

Risk Manager

APPROVED AS TO ACCOUNTING FORM:
ROBERT W. GEIS, CPA, CPFO
AUDITOR-CONTROLLER

By

Division Chief
Advance/Speciality Accounting
Grant Agreement Amendment Approval Form

To: Air Pollution Control Officer
Staff Contact: Jim Fredrickson
Date: June 11, 2015
Grant Program: Off-Road Equipment Replacement Program (Carl Moyer Program)

Grant Information

Grantee: V. Lopez Jr. & Sons
Grant Agreement Number: AP141503
Grant Agreement Amount: $73,000
Existing Equipment: 2001 Case 580 Super M Loader/Backhoe w/Tier 1 engine
New Equipment: 2015 Case 580N Loader/Backhoe w/Interim Final Tier 4 engine
Grant Cost-Effectiveness: $16,946/ton NOx+ROC+PM_{10}
Program Limit: $17,080/ton NOx+ROC+PM_{10}
Project Life: 5 Years
Project Lifetime Emission Reductions: 1.682.23 tons NOx+ROC+PM_{10}

Program Information

Approved Program Budget: $2,500,000
Encumbered Grant Agreements: $2,036,935 (Including current Grant)
Current Program Balance: $463,065
Overall Program Cost-Effectiveness: $13,874/ton NOx+ROC+PM_{10} (w/ current Grant)

Approved: [Signature]
Air Pollution Control Officer
Date: 6/17/2015

Grant Agreement AP141503 was originally approved on April 9, 2015. The above summarizes amendments made and approved by the APCO after that date. Please contact the Board Clerk to review this grant agreement and/or amendment.
GRANT AGREEMENT AMENDMENT

between
The Santa Barbara County Air Pollution Control District
and
V. Lopez Jr. & Sons [AP141503]

This Grant Agreement Amendment is made this 11th day of June 2015, in order to modify Grant Agreement AP141503, dated April 9, 2015, between the Santa Barbara County Air Pollution Control District, hereinafter referred to as "DISTRICT," and V. Lopez Jr. & Sons, hereinafter referred to as "GRANTEE".

RECITALS

WHEREAS, DISTRICT Board of Directors has delegated authority to the Control Officer to execute certain grant agreements and this Grant Agreement Amendment falls within that delegation authority;

WHEREAS, Condition 23 (Amendment) states that this Grant Agreement may only be amended in writing executed by DISTRICT Board and GRANTEE or, where authorized by the Board, by the Control Officer and GRANTEE;

WHEREAS, GRANTEE has submitted a proposal to replace an existing model year 2001 Case construction loader/backhoe model 580 Super M with a tier 1 diesel engine, with a model year 2015 Case construction loader/backhoe model 580N with a certified interim Tier 4 diesel engine;

WHEREAS, ATTACHMENT A (SCOPE OF WORK) had incorrect information specifically for the new engine model number, horsepower rating, tier, and EPA Family Number;

WHEREAS, DISTRICT staff reviewed the corrected engine information and determined that the change will have no impact on the performance of the equipment, the cost effectiveness calculations, or the anticipated emission reductions associated with the equipment replacement project.

NOW, THEREFORE, it is hereby agreed between DISTRICT and GRANTEE as follows:

1. ATTACHMENT A (SCOPE OF WORK) in Grant Agreement AP141503, dated March 12, 2015, is hereby modified as set forth in ATTACHMENT A to the Grant Agreement Amendment.

2. Except as modified herein, Grant Agreement AP141503, dated April 9, 2015, shall remain in full force and effect.
This Grant Agreement Amendment between the Santa Barbara County Air Pollution Control District and V. Lopez Jr. & Sons was executed at Santa Barbara, California on the day and year first above written.

ATTEST:
LOUIS D. VAN MULLEM, JR.
CLERK OF THE BOARD

By [Signature]
Deputy

SANTA BARBARA COUNTY AIR
POLLUTION CONTROL DISTRICT,
STATE OF CALIFORNIA

By [Signature]
Louis D. Van Mullem, Jr.
Air Pollution Control Officer

GRANTEE: V. LOPEZ JR.& SONS

By [Signature]
Vince Lopez III
President

Date: 6/8/15

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By [Signature]
Deputy County Counsel

APPROVED AS TO FORM:
RAY AROMATORIO, ARM, AIC
RISK MANAGER

By [Signature]
Risk Manager

APPROVED AS TO ACCOUNTING FORM:
ROBERT W. GEIS, CPA, CPFO
AUDITOR-CONTROLLER

By [Signature]
Division Chief
Advance/Speciality Accounting