



## 2021 CLEAN AIR GRANTS OFF-ROAD EQUIPMENT REPLACEMENT PROGRAM

### **INTRODUCTION**

Si requiere asistencia para someter una solicitud en español, comuníquese con el Distrito al [Grants@sbcapcd.org](mailto:Grants@sbcapcd.org)

Santa Barbara County Air Pollution Control District (District) offers grant funds to benefit public health by cost-effectively replacing old, high polluting equipment with newer, cleaner equipment earlier than required by regulation or through normal attrition. For more information on the District's program, see [www.OurAir.org/funding](http://www.OurAir.org/funding).

The grant funds come from the California Air Resources Board's (CARB) Carl Moyer Program, Community Air Protection Program, and Funding Agricultural Replacement Measures for Emission Reductions (FARMER) Program.

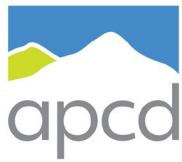
Our 2021 Clean Air Grants program follows the California Air Resources Board's 2017 Carl Moyer Program Guidelines: [www.arb.ca.gov/msprog/moyer/guidelines/current.htm](http://www.arb.ca.gov/msprog/moyer/guidelines/current.htm). All funded projects must meet and comply with the requirements found within these guidelines, including cost-effectiveness thresholds.

### **FUND AVAILABILITY**

- Applications will be accepted during the following time period:
  - Open - Monday, August 02, 2021
  - Close - Friday, September 03, 2021
- Once the application period closes, no more applications will be accepted;
- The 2021 Clean Air Grants Program will commit at least \$1,600,000 in CARB funds for all grant projects combined;
- Successful projects will be eligible to receive a grant within a range from \$10,000 to \$250,000, and not to exceed 80% of the total eligible project costs;
- Emission reductions from the project must be surplus to any rule or regulation;
- Priority will be given to zero-emission or near-zero emission technology projects, and projects located in low-income communities as defined by AB 1550:  
[www.arb.ca.gov/cc/capandtrade/auctionproceeds/communityinvestments.htm](http://www.arb.ca.gov/cc/capandtrade/auctionproceeds/communityinvestments.htm)
- The District has discretion on how grants funds are distributed.

### **APPLICATION PROCESS**

- Public, private, and nonprofit entities are eligible to apply and may submit a maximum of one (1) application per program category;
- Applications are limited to one (1) Off-Road grant project per Company, LLC, Tax ID, etc. and determinations are at the final discretion of the District;
- Applications are limited to one (1) new piece of equipment;
- Only applications deemed complete will be eligible for grant funds. Submittal of an application does not guarantee approval of grant funds;
- The District will review all completed applications as they arrive and schedule pre-inspections to confirm accuracy of the application information;
- Incomplete applications will be returned to the applicant. Additional information can be submitted prior to September 03, 2021 to help complete the application;
- The District issues grant funds on a reimbursement basis. Distribution of funds does not occur until the applicant has paid for or financed the entire cost of the project;



- Applicants shall provide documentation, such as Articles of Incorporation, that demonstrate that the person with Grant signing authority (Section B of the application) has the ability to bind the company/organization into a grant agreement with the District;
- Once all projects are reviewed and inspected, the District will rank projects using a variety of criteria, including but not limited to location, equipment technology, emission reductions, and cost-effectiveness;
- Once grant funds are depleted, any applicants with pending complete applications will be notified that grant funding is no longer available;
- Submit the attached application and required documents to:

**Santa Barbara County Air Pollution Control District**

**Attn: Mike McKay**

**260 N. San Antonio Road, Santa Barbara, CA 93110**

**or**

**301 E. Cook, Suite L, Santa Maria, CA 93455**

**or**

**e-mail documents to: [Grants@sbcapcd.org](mailto:Grants@sbcapcd.org)**

- Within 24 hours, you'll receive a confirmation from the District that we've received your application. If you don't receive a response within 72 hours confirming that the District has received your submittal, please assume the application was not received and contact:
  - Mike McKay at (805) 614-6788 or by e-mail at [Grants@sbcapcd.org](mailto:Grants@sbcapcd.org)

**ELIGIBILITY CRITERIA**

To be eligible for funds, Off-Road Equipment Replacement Projects must meet the criteria located in CARB's 2017 Carl Moyer Guidelines, Chapter 5: Off-Road Equipment:

[www.arb.ca.gov/msprog/moyer/guidelines/2017gl/2017\\_gl\\_chapter\\_5.pdf](http://www.arb.ca.gov/msprog/moyer/guidelines/2017gl/2017_gl_chapter_5.pdf).

Criteria includes, but is not limited to, the following:

**EXISTING EQUIPMENT REQUIREMENTS:**

1. Existing equipment must have a functional hour meter;
2. Existing equipment must be operational and in-service at the time of application. The applicant must demonstrate operability to the District at the pre-inspection;
3. Existing equipment must have operated 100% of the time within Santa Barbara County and the applicant shall submit records that corroborate that usage over the previous 24 months;
4. Existing equipment must be destroyed by a District approved salvage yard prior to disbursement of grant funds;
5. For construction equipment only:
  - a) To qualify as a captive attainment fleet, all fleet vehicles must operate exclusively in a captive attainment area (for example, local captive attainment counties are currently Santa Barbara, San Luis Obispo, and Monterey).
  - b) If subject to the State Regulation for In-Use Off-Road Diesel Vehicles, the existing equipment must be registered in the [Diesel Off-road On-line Reporting System \(DOORS\)](#).



**REPLACEMENT EQUIPMENT REQUIREMENTS:**

1. Replacement equipment purchased prior to execution of a grant agreement will be ineligible for funds;
2. Auxiliary engines on mobile equipment and portable engines are ineligible for grant funds;
3. Replacement equipment must operate 100% of the time within Santa Barbara County;
4. All new engines must be certified by CARB for sale in California and must comply with durability and warranty requirements;
5. Projects must meet a cost-effectiveness threshold established in the 2017 Carl Moyer Program Guidelines;
6. Replacement equipment must have a one-year or 1,600-hour power and drive train warranty, at a minimum. The warranty must cover parts and labor and the grantee must submit proof of purchase to the District;
7. Emission reductions obtained through the project must not be required by any federal, state, or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legal mandate;
8. Emission reductions generated must not be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity;
9. Funded projects must not be used for credit under any federal or state emission averaging banking and trading program;
10. Replacement equipment must serve the same function and perform the same work equivalent and the same job as the existing equipment;
11. Replacement equipment must be equipped with a Tier 4 diesel engine or cleaner technology;
12. Replacement of two (or more) pieces of existing equipment with one piece of replacement equipment is eligible for funding on a case-by-case basis;
13. Horsepower rating for the replacement engine greater than 125% of the original manufacturer rated horsepower (baseline horsepower) for the existing engine is eligible on a case-by-case basis.

**APPLICATION REQUIREMENTS**

1. **Completed Application (Sections A-G)**
2. **Proof of Existing Equipment Ownership, Operation, and Residency in California (covering, at minimum, the previous 24 months prior to application date)**
  - Bill of Sale for the existing equipment, **AND ONE** of the following items:  
(Note: if no Bill of Sale is available then **TWO** of the following items)
    - Tax depreciation logs, Property tax records, Equipment insurance records, Bank appraisals for equipment Maintenance/service records, General ledgers, or Fuel records specific to existing equipment
3. **Proof of Existing Equipment Usage (covering, at minimum, the previous 24 months).**
  - Hour meter reading log (collected at least once per year from a functional meter)
  - Construction equipment applicants must also provide job and equipment locations for previous 24mo.
4. **Proof of Compliance**
  - Sign and date the CARB Regulatory Compliance Statement (page 9) and submit with application.
  - Construction equipment applicants must also submit a current CARB Diesel Off-Road On-Line Reporting Systems (DOORS) report to prove fleet compliance with CARB Off-Road Diesel Vehicle Regulation
5. **Existing Engine Documents**
  - CARB engine certification (CARB Executive Order) if existing diesel engine is Tier 1 or newer.
6. **Replacement Equipment Documents**
  - CARB engine certification (CARB Executive Order)
  - Current itemized dealer price quote
  - Manufacturer’s literature (including make, model, engine HP, standard and optional vehicle features)



## 2021 CLEAN AIR GRANTS OFF-ROAD EQUIPMENT REPLACEMENT PROGRAM APPLICATION

### **FUNDS DISCLOSURE**

Has this project already received funds, or have the potential to receive funds, from a source other than Santa Barbara County Air Pollution Control District?  **YES\***  **NO**

*\*If yes, please provide details in an attachment to this application.*

### **A. APPLICANT INFORMATION**

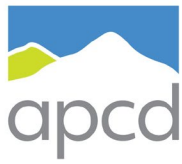
1. Company/Organization name:		
2. Contact Name and Title:		
3. Street Address:		
4. City:	5. State:	6. Zip code:
7. Primary contact number:		
8. Email:		
9. Company website (if available):		
10. Equipment Address (if different than business):		

### **B. GRANT SIGNING AUTHORITY**

1. Name:	2. Title:
3. Attach Articles of Incorporation (or other company documentation) that verifies the person's title and authority to sign the grant agreement	

### **C(1). EXISTING EQUIPMENT INFORMATION**

1. Type and function:	
2. Make:	3. Model:
4. Year:	5. Serial #:
6. CARB EIN #:	7. Company ID #:
8. Current hour meter reading:	
9. Percent equipment operated in Santa Barbara County in recent 24-month period: _____%	



**D(1). EXISTING ENGINE INFORMATION**

1. Make:	2. Model:
3. Year:	4. Serial #:
5. Tier:	6. Horsepower:
7. Fuel Type:	8. EPA Family #:
9. CARB Executive Order #:	

**E. NEW EQUIPMENT INFORMATION**

1. Type and Function:	
2. Make:	3. Model:
4. Year:	5. Serial # (if available):
6. Dealer Business Name:	
7. Dealer Representative:	
8. Dealer Phone:	9. Dealer E-mail:

**F. NEW ENGINE INFORMATION**

1. Make:	2. Model:
3. Year:	4. Serial # (if available):
5. Tier:	6. Horsepower:
7. Fuel Type:	8. EPA Family #:
9. CARB Executive Order #:	



**If applying for a 2-for-1 replacement, please fill out C(2) and D(2):**

**C(2). EXISTING EQUIPMENT INFORMATION**

1. Type and function:	
2. Make:	3. Model:
4. Year:	5. Serial #:
6. CARB EIN #:	7. Company ID #:
8. Current hour meter reading:	
9. Percent equipment operated in Santa Barbara County in recent 24-month period: _____%	

**D(2). EXISTING ENGINE INFORMATION**

1. Make:	2. Model:
3. Year:	4. Serial #:
5. Tier:	6. Horsepower:
7. Fuel Type:	8. EPA Family #:
9. CARB Executive Order #:	

**G. APPLICATION STATEMENT OF TERMS AND CONDITIONS**

1. I have legal authority to apply for grant funds for the entity described in this application;
2. The proposed project is not required to be implemented by any local, state, and/or federal rule, regulation, or other legally binding requirement;
3. No replacement equipment has been purchased and no work on this project has begun or will begin until the Grant Agreement is fully executed by the District Board of Directors or by the Air Pollution Control Officer;
4. I understand the grant will reimburse a portion of the total costs and I must retain copies of receipts and cancelled checks to prove that I paid for the total project cost before receiving reimbursement;
5. I understand there are conditions placed on receiving a grant and agree to refund the grant (or a pro-rated portion) if it is found that I do not meet those conditions at any time;
6. I understand I must complete the equipment purchase specified in the application no later than the period of performance stated in the Grant Agreement. This deadline may be extended for cause if requested by the applicant and approved in writing by the District;



7. I understand it is my responsibility to ensure that all technologies are either verified or certified by CARB to reduce NO<sub>x</sub>, and/or PM pollutants;
8. I have attached hour meter reading documentation that validates the historic operation of the baseline equipment for at least the previous 24 months;
9. I understand any existing vehicle/equipment/engine that has been replaced must be permanently destroyed and rendered inoperable. This work shall be documented by the District;
10. I understand that the existing engine may not be removed from the equipment until the manufacturer's permanently marked serial number is documented by District inspection or a District tamper-proof tag is affixed to the engine that ensures the engine's identity can be verified after removal;
11. I understand that both the existing vehicle/equipment/engine operates and the new vehicle/equipment/engine/motor will operate 100% of the time within Santa Barbara County;
12. I understand that I must submit usage reports annually to the District for the life of the project;
13. I understand I will be prohibited from applying for any form of emission reduction credits for this funded vehicle/equipment/engines including: Emission Reduction Credit, Mobile Source Emission Reduction Credit, and/or Certificate of Advanced Placement, for all time, from the District, CARB, and/or any other district;
14. I certify that I have disclosed if the proposed project has been funded or being considered for any funds by another air district or any other public or private agency;
15. I understand that disclosure is required of the value of any current financial incentive that directly reduces the project price, including tax credits or deductions, grants, or other public financial assistance;
16. I understand that a Global Positioning System (GPS) unit may be required to be installed on vessels and vehicles/equipment if the District ascertains during the application process that the grant equipment has the potential of operating outside of the boundaries of Santa Barbara County for a significant portion of the project life. I will submit data as requested and otherwise cooperate with all data monitoring and reporting requirements;
17. I understand that a tamper-proof non-resettable digital hour meter/odometer must be installed and functional on all vehicles/equipment/engine;
18. I understand that the District has the right to conduct audit inspections to ensure the project equipment is fully operational and at the activity level committed to in the grant agreement;
19. I certify that the requested funds do not include administrative costs. Administrative costs are defined as costs related to application preparation and submittal, project administration, monitoring, oversight, data gathering, and report preparation. I will provide all funds necessary to cover administrative costs and any required matching funds required for this project;
20. I understand that an IRS Form 1099 will be issued to me for the incentive funds received. I understand that it is my responsibility to determine the tax liability associated with participating in the 2021 Clean Air Grants Program;
21. I have signed and submitted to the District a CARB Regulatory Compliance Statement to certify compliance with all federal, state, and local air quality rules and regulations at the time of application submittal;
22. Agreement to Conduct the Transaction Electronically. Counterparts may be executed and delivered via facsimile, electronic mail (including pdf, digital signature, or any electronic signature complying with the U.S federal ESIGN Act of 2000, California's Uniform Electronic Transactions Act (Cal. Civ. Code 1633.1, et seq.) or other applicable law) or other transmission method, and any counterparts so delivered shall be deemed to have been duly and validity delivered and be valid and effective for all purposes.





**I hereby certify to the best of my knowledge that all information provided in this application and any attachments are authentic and accurate:**

Name of responsible party:	Title:
Signature of responsible party: _____	Date:

**Third Party Certification**

**I have completed the application, in whole or in part, on behalf of the applicant:**

Name of third party:	Title:
Signature of third party: _____	Date:
Amount paid to third party:	Source of funds to third party:

**GRANT PROGRAM OUTREACH**

How did you hear about the 2021 Clean Air Grants Program? (check all that apply)

- District Website
- District E-mail
- Radio
- Equipment Dealer
- I'm a Returning Applicant
- Word of Mouth
- Other \_\_\_\_\_

Please let us know your thoughts on the program, and if you think it can be improved.





### REGULATORY COMPLIANCE STATEMENT

As an applicant for California Air Resources Board grant funding, I declare:

I am in compliance with, and will remain in compliance with, and do not have any outstanding/unresolved/unpaid Notices of Violations (NOV) or citations for any federal, state, or local air quality regulation including, but not limited to, the following:

- Crop Handling Equipment Reg
- Drayage Truck Regulation (including dray-off trucks)
- Marine Shore Power Reg
- Portable Diesel Airborne Toxic Control Measure
- Sleeper Berth Truck Idling Reg
- Statewide Engine Airborne Toxic Control Measure
- Statewide Truck and Bus Reg
- Commercial Harbor Craft Reg
- In-Use Off-Road Diesel Vehicle Reg
- Off-Road Large-Spark Ignition Fleet Reg
- Public Agency and Utility Rule
- Solid Waste Collection Vehicle Reg
- Transit Fleet Rule

I certify under penalty of perjury that the information provided is accurate:

**Authorized Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

1. Authorized Representative's Name:		
2. Authorized Representative's Title:		
3. Legal Owner Name:		
4. Company Name:		
5. Street Address:		
6. City:	7. State:	8. Zip Code:
9. Phone:		10. E-mail: