



2021 CLEAN AIR GRANTS ON-ROAD EQUIPMENT REPLACEMENT PROGRAM

PLEASE SEE SEPARATE APPLICATION FOR SCHOOL BUS PROJECTS

INTRODUCTION

Si requiere asistencia para someter una solicitud en español, comuníquese con el Distrito al Grants@sbcapcd.org

The Santa Barbara County Air Pollution Control District (District) has grant funds to benefit public health by cost-effectively replacing old, high polluting equipment with newer, cleaner equipment earlier than required by regulation or through normal attrition. For more information on the District's program, see www.OurAir.org/funding.

The grant funds come from the California Air Resources Board's (CARB) Carl Moyer Program, Community Air Protection Program, and Funding Agricultural Replacement Measures for Emission Reductions (FARMER) Program.

This program follows the California Air Resources Board's (CARB) 2017 Carl Moyer Program Guidelines: www.arb.ca.gov/msprog/moyer/guidelines/current.htm. All funded projects must meet and comply with the requirements found within these guidelines, including cost-effectiveness thresholds.

FUND AVAILABILITY

- Applications will be accepted during the following time period:
 - Open - Monday, August 02, 2021
 - Close - Friday, September 03, 2021
- Once the application window closes, no more applications will be accepted;
- The 2021 Clean Air Grants Program will commit at least \$1,600,000 in CARB funds for all grant projects combined;
- Successful projects can receive a grant within a range from \$10,000 to \$250,000, and not to exceed 80% of the eligible project costs;
- Emission reductions from the project must be surplus to any rule or regulation;
- Priority will given to zero-emission or near-zero emission technology projects, and projects located in low-income communities as defined by AB 1550:
www.arb.ca.gov/cc/capandtrade/auctionproceeds/communityinvestments.htm
- The District has discretion on how grant funds are distributed.

APPLICATION PROCESS

- Public, private, and nonprofit entities are eligible to apply and may submit a maximum of one (1) application per program category;
- Applications are limited to one (1) On-Road grant project per Company, LLC, Tax ID, etc. and determinations are at the final discretion of the District;
- Applications are limited to one (1) new piece of equipment;
- Only applications deemed complete will be eligible for grant funds. Submittal of an application does not guarantee approval of grant funds;
- The District will review all completed applications as they arrive and schedule pre-inspections to confirm accuracy of the application information;



- Incomplete applications will be returned to the applicant. Additional information can be submitted prior to September 03, 2021 to help complete the application;
- The District issues grant funds on a reimbursement basis. Distribution of funds does not occur until the applicant has paid for or financed the entire cost of the project;
- Applicants shall provide documentation, such as Articles of Incorporation, that demonstrate that the person with Grant signing authority (Section B of the application) has the ability to bind the company/organization into a grant agreement with the District;
- Once all projects are reviewed and inspected, the District will rank projects using a variety of criteria, including but not limited to location, equipment technology, emission reductions, and cost-effectiveness;
- Once grant funds are depleted, any applicants with pending complete applications will be notified that grant funding is no longer available;
- Submit the attached application and required documents to:

Santa Barbara County Air Pollution Control District
Attn: Emily Waddington
260 N. San Antonio Road, Santa Barbara, CA 93110
 or
301 E. Cook, Suite L, Santa Maria, CA 93455
 or
e-mail documents to: Grants@sbcapcd.org

- Within 24 hours, you will receive a confirmation from the District that we have received your application. If you do not receive a response within 72 hours confirming that the District has received your submittal, please assume the application was not received and contact:
 - Emily Waddington at (805) 961-8878 or by e-mail at Grants@sbcapcd.org

ELIGIBILITY CRITERIA

The On-Road Equipment Replacement Program is currently accepting applications for the following projects:

Current Vehicle/Equipment to be Replaced	New Vehicle/Equipment to be Purchased
Commercial trucks and buses (GVWR over 14,000 lbs.) with 2010 or newer model year engine	A zero-emission vehicle or near-zero emission vehicle (low NOx vehicle*).
Commercial trucks and bus (GVWR over 14,000 lbs.) with any model year engine currently registered with CARB's NOx Exempt Area Flexibility Option	
Solid Waste Collection Vehicles, Public Agency and Utility Vehicles	
Transit/Urban Buses	
Authorized Emergency Vehicles	A vehicle with diesel engine certified to 2010 emission standards or cleaner, a zero-emission vehicle, or a near-zero emission vehicle (low NOx vehicle*).
Transportation Refrigeration Units (TRUs) accepted on a case-by-case basis (will require CARB approval)	A zero-emission TRU.

*A Low NOx vehicle has a CARB certified engine meeting emission levels of 0.10 g/bhp NOx or less.



To be eligible for funds, On-Road Heavy Duty Vehicle Projects must meet the criteria located in the California Air Resources Board (CARB)'s 2017 Carl Moyer Guidelines, Chapter 4: On-Road Equipment:

www.arb.ca.gov/msprog/moyer/guidelines/2017gl/2017_gl_chapter_4.pdf

Criteria includes, but is not limited to, the following:

1. The owner's entire fleet must be compliant with all of CARB's on-road regulations and pass a compliance check by CARB. For commercial trucks and buses, the owner's fleet must be registered in CARB's Truck Regulation Upload, Compliance, and Reporting System (TRUCRS). For information on CARB's on-road regulations please visit: www.arb.ca.gov/msprog/truckstop/truckstop.htm
2. Funded vehicles must be registered with the California Department of Motor Vehicles within Santa Barbara County for the past 24 month;
3. Vehicles proposed for replacement must be operational and in-service at the time of application. The applicant must demonstrate operability to the District at the pre-inspection;
4. Projects must meet a cost-effectiveness threshold established in the 2017 Carl Moyer Program Guidelines;
5. Emission reductions obtained through the project must not be required by any federal, state, or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legal mandate;
6. Emission reductions generated must not be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity;
7. Funded projects must not be used for credit under any federal or state emission averaging banking and trading program;
8. Applicants are eligible to claim credits generated under the Low Carbon Fuel Standard (LCFS) Program.
9. At least 51 percent total annual usage must occur in California. Only usage in California can be used for on-road emission calculations;
10. All new engines must be certified by CARB for sale in California and must comply with durability and warranty requirements;

APPLICATION REQUIREMENTS

<p>1. Completed and Signed Application (Sections A-J, where applicable)</p>
<p>2. Proof of Existing Vehicle Ownership, Operation, and Registration</p> <ul style="list-style-type: none"> ➤ Copy of the existing vehicle title ➤ Copy of the vehicle's Department of Motor Vehicle (DMV) registrations covering the past 24 months showing that the vehicle has been registered and is currently registered within Santa Barbara County ➤ Proof of insurance for previous 24 months
<p>3. Proof of Existing Vehicle Usage</p> <ul style="list-style-type: none"> ➤ Baseline vehicle mileage records covering the previous 24 months showing miles traveled in total and in California (attach copy of vehicle mileage logbook, preventative maintenance records, or other documentation showing odometer readings with dates)
<p>4. Proof of Compliance</p> <ul style="list-style-type: none"> ➤ Sign and date the CARB Compliance Statement (page 10) and submit with application ➤ Copy of the TRUCRS Fleet List located on the Vehicle Information tab showing the compliance option each vehicle in the fleet is using ➤ Copy of the TRUCRS General Fleet and Compliance Information Summary showing compliance located on the Compliance Status tab ➤ Copy of the Compliance Certificate printed from TRUCRS
<p>5. Replacement Vehicle Documents</p> <ul style="list-style-type: none"> ➤ CARB engine certification (CARB Executive Order) ➤ Current itemized dealer price quote



2021 CLEAN AIR GRANTS ON-ROAD VEHICLE PROGRAM APPLICATION

Funds Disclosure

Has this project already received funds, or have the potential to receive funds, from a source other than Santa Barbara County Air Pollution Control District? **YES*** **NO**

**If yes, please provide details in an attachment to this application.*

A. Applicant Information

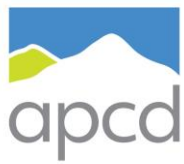
1. Company/Organization Name:		
2. Contact Name and Title:		
3. Street Address:		
4. City:	5. State:	6. Zip code:
7. Primary contact number:		
8. Email:		
9. Company web site (if available):		
10. Equipment Address (if different than business address):		

B. Existing Vehicle/Equipment Information

1. Name:	2. Title:
3. Attach Articles of Incorporation (or other company documentation) that verifies the person's title and authority to sign the grant agreement	

C. Existing Vehicle/Equipment Information

1. Type: <input type="checkbox"/> Solid Waste/Refuse Collections <input type="checkbox"/> Urban Transit Bus <input type="checkbox"/> Emergency Vehicle <input type="checkbox"/> Other Light Duty (GVWR 14,000lbs - 26,000lbs) <input type="checkbox"/> Other Heavy Duty (GVWR >26,000lbs) <input type="checkbox"/> Transportation Refrigeration Unit (TRU) (fill out applicable sections)		
2. Is this a public fleet vehicle? <input type="checkbox"/> Yes <input type="checkbox"/> No		
3. Vehicle Identification Number (VIN):		
4. Make:	5. Model:	6. Year:



7. GVWR:	8. License Plate Number:
9. Registered Owner:	
10. Department of Transportation Number (if applicable):	
11. California Highway Patrol CA Number (if applicable):	
12. Fleet Size: <input type="checkbox"/> 1-10 vehicles <input type="checkbox"/> >10 vehicles	

D. Existing Main Engine Information

1. Make:	2. Model:
3. Year:	4. Fuel Type:
5. Engine Horsepower:	6. Serial #:
7. EPA Family #:	8. Is the vehicle equipped with a diesel particulate filter? <input type="checkbox"/> Yes <input type="checkbox"/> No

E. Existing TRU Information (if applicable)

1. Make:	2. Model:
3. Year:	4. Fuel Type:
5. Engine Horsepower:	6. Serial #:
7. EPA Family #:	

F. Activity Information (records for past 24 months are required)

1. Total annual miles traveled (attach a copy of the vehicle mileage logbook, preventative maintenance records, or other documentation showing odometer readings with dates): 2021 Mileage: _____ 2020 Mileage: _____ 2019 Mileage: _____
2. Percent equipment operated in California in recent 24-month period: _____%
3. Percent equipment operated in Santa Barbara County in recent 24-month period: _____%



G. Replacement Vehicle Information

1. Vehicle Identification Number (VIN):		
2. Make:	3. Model:	4. Year:
5. GVWR:	6. License Plate Number (if available):	
7. Department of Transportation Number (if interstate):		
8. California Highway Patrol CA Number:		
9. Is Vehicle Used: <input type="checkbox"/> Yes <input type="checkbox"/> No		
10. New Vehicle Cost:		
11. Name and Address of Replacement Vehicle Vendor:		
12. Phone and e-mail of Replacement Vehicle Vendor:		

H. New Reduced Emission Engine Information

1. Make:	2. Model:
3. Year:	4. Fuel Type:
5. Engine Horsepower:	6. Serial # (if available):
7. EPA Family #:	

I. New TRU Information (if applicable)

1. Make:	2. Model:
3. Year:	4. Fuel Type:
5. Engine Horsepower:	6. Serial # (if available):
7. EPA Family #:	



J. APPLICATION STATEMENT OF TERMS AND CONDITIONS

1. I have legal authority to apply for grant funds for the entity described in this application;
2. The proposed project is not required to be implemented by any local, state, and/or federal rule, regulation, or other legally binding requirement;
3. No replacement equipment has been purchased and no work on this project has begun or will begin until the Grant Agreement is fully executed by the District Board of Directors or by the Air Pollution Control Officer;
4. I understand the grant will reimburse a portion of the total costs and I must retain copies of receipts and cancelled checks to prove that I paid for the total project cost before receiving reimbursement;
5. I understand there are conditions placed on receiving a grant and agree to refund the grant (or a pro-rated portion) if it is found that I do not meet those conditions at any time;
6. I understand I must complete the equipment purchase specified in the application no later than the period of performance stated in the Grant Agreement. This deadline may be extended for cause if requested by the applicant and approved in writing by the District;
7. I understand it is my responsibility to ensure that all technologies are either verified or certified by CARB to reduce NO_x, and/or PM pollutants;
8. I have attached records, fuel receipts, logs, and/or operating hour documentation that validates the historic operation of the baseline equipment for at least the previous 24 months;
9. I understand any existing engine and equipment which has been replaced must be permanently destroyed and rendered inoperable. This work shall be documented by the District;
10. I understand that the existing engine may not be removed from the equipment until the manufacturers permanently marked serial number is documented by District inspection or a District tamperproof tag is affixed to the engine that ensures the engine's identity can be verified after removal;
11. I understand that the both the existing vehicle and the new vehicle will be registered within Santa Barbara County;
12. I understand that I must submit usage reports annually to the District for the life of the project;
13. I understand I will be prohibited from applying for any form of emission reduction credits for this Moyer funded equipment/engines including: Emission Reduction Credit (ERC), Mobile Source Emission Reduction Credit (MSERC), and/or Certificate of Advanced Placement (CAP), for all time, from the District, CARB, and/or any other district. Applicants are eligible to claim credits generated under the Low Carbon Fuel Standard (LCFS) Program;
14. I certify that the proposed project has not been funded and is not being considered for any funds by another air district or any other public or private agency;
15. I understand that disclosure is required of the value of any current financial incentive that directly reduces the project price, including tax credits or deductions, grants, or other public financial assistance;
16. I understand that a Global Positioning System (GPS) unit may be required to be installed on vessels and vehicles/equipment if the District ascertains during the application process that the grant equipment has the potential of operating outside of the boundaries of Santa Barbara County for a significant portion of the project life. I will submit data as requested and otherwise cooperate with all data monitoring and reporting requirements;
17. I understand that a tamperproof non-resettable digital hour meter/odometer must be installed and functional on all vehicles/equipment/engine;
18. I understand the District has the right to conduct unannounced inspections to ensure the project equipment is fully operational and at the activity level committed to in the grant agreement;



19. I certify that the requested funds do not include administrative costs. Administrative costs are defined as costs related to application preparation and submittal, project administration, monitoring, oversight, data gathering, and report preparation. I will include funds necessary to cover administrative costs and any required matching funds in my budget for the duration of the project;
20. I understand that an IRS Form 1099 will be issued to me for the incentive funds received. I understand that it is my responsibility to determine the tax liability associated with participating in the 2021 Clean Air Grants Program;
21. I have signed and submitted to the District a CARB Regulatory Compliance Statement certify compliance with all federal, state, and local air quality rules and regulations at the time of application submittal;
22. Agreement to Conduct the Transaction Electronically. Counterparts may be executed and delivered via facsimile, electronic mail (including pdf, digital signature, or any electronic signature complying with the U.S federal E-SIGN Act of 2000, California's Uniform Electronic Transactions Act (Cal. Civ. Code 1633.1, et seq.) or other applicable law) or other transmission method, and any counterparts so delivered shall be deemed to have been duly and validity delivered and be valid and effective for all purposes.



I hereby certify to the best of my knowledge that all information provided in this application and any attachments are authentic and accurate:

Name of responsible party:	Title:
Signature of responsible party: _____	Date:

Third Party Certification

I have completed the application, in whole or in part, on behalf of the applicant:

Name of third party:	Title:
Signature of third party: _____	Date:
Amount paid to third party:	Source of funds to third party:

GRANT PROGRAM OUTREACH

How did you hear about the 2021 Clean Air Grants Program? (check all that apply)

- District Website
- District Email
- Radio
- Equipment Dealer
- I'm a Returning Applicant
- Word of Mouth
- Other _____

Please let us know your thoughts on the program, and if you think it can be improved



REGULATORY COMPLIANCE STATEMENT

As an applicant for California Air Resources Board grant funding, I declare:

I am in compliance with, and will remain in compliance with, and do not have any outstanding/unresolved/unpaid Notices of Violations (NOV) or citations for any federal, state, or local air quality regulation including, but not limited to, the following:

- Crop Handling Equipment Reg
- Drayage Truck Regulation (including dray-off trucks)
- Marine Shore Power Reg
- Portable Diesel Airborne Toxic Control Measure
- Sleeper Berth Truck Idling Reg
- Statewide Engine Airborne Toxic Control Measure
- Statewide Truck and Bus Reg
- Commercial Harbor Craft Reg
- In-Use Off-Road Diesel Vehicle Reg
- Off-Road Large-Spark Ignition Fleet Reg
- Public Agency and Utility Rule
- Solid Waste Collection Vehicle Reg
- Transit Fleet Rule

I certify under penalty of perjury that the information provided is accurate:

Authorized Signature: _____ **Date:** _____

1. Authorized Representative's Name:		
2. Authorized Representative's Title:		
3. Legal Owner Name:		
4. Company Name:		
5. Street Address:		
6. City:	7. State:	8. Zip Code:
9. Phone:		10. E-mail: