



2024 CLEAN AIR GRANTS LOCOMOTIVE PROGRAM

INTRODUCTION

Si requiere asistencia para someter una solicitud en español, comuníquese con el Distrito al Grants@sbcapcd.org

Santa Barbara County Air Pollution Control District (District) [grant funds](#) benefit public health by cost-effectively replacing old, high polluting equipment with newer, cleaner equipment earlier than required by regulation or through normal attrition.

The grant funds come from the California Air Resources Board's (CARB) Carl Moyer Program, Community Air Protection Program, Funding Agricultural Replacement Measures for Emission Reductions (FARMER) Program and DMV surcharge revenue.

Our 2024 Clean Air Grants program follows the most recent California Air Resources Board's [Carl Moyer Program Guidelines](#). All funded projects must meet and comply with the requirements found within these guidelines, including cost-effectiveness thresholds.

FUND AVAILABILITY

- Applications will be accepted during the following time period:
 - Open - Monday, July 29, 2024
 - Close - Friday, September 06, 2024
- Once the application period closes, no more applications will be accepted;
- Successful projects will be eligible to receive a grant within a range from \$10,000 to \$250,000; Grants larger than \$250,000 are available on a case-by-case basis and must be approved by the District Board of Directors;
- All emission reductions from locomotive projects must be surplus to any applicable rule or regulation. Funded projects must not be required by any federal, State, or local rule or regulation;
- Priority will be given to zero-emission or near-zero emission technology projects, along with projects located within [low-income and disadvantaged communities](#);
- The District has discretion on how grants funds are distributed.

APPLICATION PROCESS

- Public, private, and nonprofit entities are eligible to apply and may submit a maximum of one (1) application per program category;
- Applications are limited to one (1) Locomotive grant project per Company, LLC, Tax ID, etc. and determinations are at the final discretion of the District;
- Only applications deemed complete by the District will be eligible for grant funds. Submittal of a complete application does not guarantee approval of grant funds;
- Incomplete applications will be returned to the applicant. Additional information can be submitted prior to September 06, 2024 to help complete the application;
- The District issues grant funds on a reimbursement basis. Reimbursement of funds does not occur until the applicant has paid for or financed the entire cost of the project;
- Applicants will provide documentation, such as Articles of Incorporation, that demonstrate that the person with Grant signing authority (Section B of the application) has the ability to bind the company/organization into a grant agreement with the District;



- Once all completed project applications are reviewed, the District will rank each project using a variety of criteria including, but not limited to, location, equipment technology, emission reductions, and cost-effectiveness;
- The District performs pre-inspections of all projects that are selected for funding to confirm the accuracy of the application information and verify operational status of the existing equipment;
- Once grant funds are depleted, any applicants with pending completed applications will be notified that grant funding is no longer available;
- To apply for grant funds, submit the attached application and required documents to:

Santa Barbara County Air Pollution Control District
Attn: Jim Fredrickson
260 N. San Antonio Road, Santa Barbara, CA 93110
 or
301 E. Cook, Suite L, Santa Maria, CA 93455
 or
e-mail documents to: Grants@sbcapcd.org

- Within 24 hours, you'll receive confirmation from the District that we've received your application. If you don't receive a confirmation email within 72 hours, please assume the application was not received and contact:
 - Jim Fredrickson at (805) 979-8328 or by e-mail at Grants@sbcapcd.org

ELIGIBILITY CRITERIA

To be eligible for funds, Locomotive Projects must meet criteria located in the most recent [Carl Moyer Guidelines](#) and be locomotive replacements. Other locomotive projects including repowers, conversions, and retrofits may be eligible on a case-by-case basis.

Criteria includes, but is not limited to, the following:

EXISTING LOCOMOTIVE REQUIREMENTS:

1. Must be a Class 1, Class 2, or Class 3 locomotive;
2. Existing locomotive must be operational and in-service at the time of application. The applicant must demonstrate operability to the District at the pre-inspection;
3. Over the most recent 24 months, the existing locomotive must have operated 100% of the time within Santa Barbara County and the applicant shall submit records that corroborate that usage. Exceptions will be determined by the District on a case-by-case basis;
4. Existing locomotive engine must be destroyed with a hole in the engine block of at least 18-inches in diameter and approved by District staff prior to disbursement of grant funds.

REPLACEMENT LOCOMOTIVE REQUIREMENTS:

1. Replacement locomotive purchased prior to execution of a grant agreement will be ineligible for grant funds;
2. Replacement locomotive must operate 100% of the time within Santa Barbara County. Exceptions will be determined by the District on a case-by-case basis;
3. Projects must meet a cost-effectiveness threshold established in the most recent [Carl Moyer Program Guidelines](#);



4. Emission reductions obtained through the project must be surplus and not be required by any federal, state, or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legal mandate;
5. Emission reductions generated must not be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity;
6. Funded projects must not be used for credit under any federal or state emission averaging banking and trading program;
7. Replacement locomotive must be equipped with a Tier 4 Final diesel engine or cleaner technology.

APPLICATION REQUIREMENTS

1. Completed Application (Sections A-E)

2. Proof of Existing Locomotive Ownership, Operation, and Residency in California (covering, at minimum, the previous 24 months prior to application date)

- Bill of Sale for the existing equipment, **AND ONE** of the following items:
(Note: if no Bill of Sale is available then **TWO** of the following items)
 - Tax depreciation logs, Property tax records, Equipment insurance records, Bank appraisals for equipment, Maintenance/service records, General ledgers, or Fuel records specific to existing equipment

3. Proof of Existing Locomotive Usage (covering, at minimum, the previous 24 months).

- Hour meter reading log (collected at least once per year from a functional meter)
- Fuel usage records

4. Proof of Compliance

- Sign and date the CARB Regulatory Compliance Statement (page 8) and submit with application.

5. Existing Locomotive Documents

- CARB engine certification (CARB Executive Order) if existing diesel engine is Tier 1 or newer.

6. New Locomotive Documents

- CARB engine certification (CARB Executive Order)
- Current itemized dealer price quote
- Manufacturer’s literature (including make, model, engine HP, standard and optional vehicle features)



2024 CLEAN AIR GRANTS LOCOMOTIVE PROGRAM APPLICATION

FUNDS DISCLOSURE

Has this project already received funds, or have the potential to receive funds, from a source other than Santa Barbara County Air Pollution Control District? **YES*** **NO**

**If yes, please provide details in an attachment to this application.*

A. APPLICANT INFORMATION

1. Company/Organization name:		
2. Contact Name and Title:		
3. Street Address:		
4. City:	5. State:	6. Zip code:
7. Primary contact number:		
8. Email:		
9. Locomotive Address (if different than company):		

B. GRANT SIGNING AUTHORITY

1. Name:	2. Title:
3. Attach Articles of Incorporation (or other company documentation) that verifies the person's title and authority to sign the grant agreement	

C. EXISTING LOCOMOTIVE INFORMATION

1. Identification #:	2. Type:
3. Class:	4. Make:
5. Model Year:	6. Model:
7. Serial #	8. Fuel Type:
9. Horsepower:	10. EPA Family #:
11. Percent equipment operated in Santa Barbara County in recent 24-month period:	



D. NEW LOCOMOTIVE INFORMATION

1. Identification #:	2. Type:
3. Class:	4. Make:
5. Model Year:	6. Model:
7. Serial #	8. Fuel Type:
9. Horsepower:	10. EPA Family #:
11. Dealer Business Name:	
12. Dealer Representative:	
13. Dealer Phone:	14. Dealer E-mail:

E. APPLICATION STATEMENT OF TERMS AND CONDITIONS

1. Projects approved for funding are required to use our [Standard Grant Agreement template](#).
2. I have legal authority to apply for grant funds for the entity described in this application;
3. The proposed project is not required to be implemented by any local, state, and/or federal rule, regulation, or other legally binding requirement;
4. No replacement equipment has been purchased and no work on this project has begun or will begin until the Grant Agreement is fully executed by the District Board of Directors or by the Air Pollution Control Officer;
5. I understand the grant will reimburse a portion of the total costs and I must retain copies of receipts and cancelled checks to prove that I paid for the total project cost before receiving reimbursement;
6. I understand there are conditions placed on receiving a grant and agree to refund the grant (or a pro-rated portion) if it is found that I do not meet those conditions at any time;
7. I understand I must complete the equipment purchase specified in the application no later than the period of performance stated in the Grant Agreement. This deadline may be extended for cause if requested by the applicant and approved in writing by the District;
8. I understand it is my responsibility to ensure that all technologies are either verified or certified by CARB to reduce NOx, and/or PM pollutants;
9. I have attached hour meter readings or fuel usage documentation that validates the historic operation of the baseline equipment for at least the most previous 24 months;
10. I understand any existing vehicle/equipment/engine that has been replaced must be permanently destroyed and rendered inoperable. This work shall be documented by the District;
11. I understand that the existing engine may not be removed from the equipment until the manufacturer's permanently marked serial number is documented by District inspection or a District tamper-proof tag is affixed to the engine that ensures the engine's identity can be verified after removal;
12. I understand that both the existing vehicle/equipment/engine operates and the new vehicle/equipment/engine/motor will operate 100% of the time within Santa Barbara County, exceptions will be determined by the District on a case-by-case basis;



13. I understand that I must submit usage reports annually to the District for the life of the project;
14. I understand I will be prohibited from applying for any form of emission reduction credits for this funded vehicle/equipment/engines including: Emission Reduction Credit, Mobile Source Emission Reduction Credit, and/or Certificate of Advanced Placement, for all time, from the District, CARB, and/or any other district. Applicants are eligible to claim credits generated under the Low Carbon Fuel Standard (LCFS) Program;
15. I certify that I have disclosed if the proposed project has been funded or being considered for any funds by another air district or any other public or private agency;
16. I understand that disclosure is required of the value of any current financial incentive that directly reduces the project price, including tax credits or deductions, grants, or other public financial assistance;
17. I understand that a Global Positioning System (GPS) unit may be required to be installed on vessels and vehicles/equipment if the District ascertains during the application process that the grant equipment has the potential of operating outside of the boundaries of Santa Barbara County for a significant portion of the project life. I will submit data as requested and otherwise cooperate with all data monitoring and reporting requirements;
18. I understand that a tamper-proof non-resettable digital hour meter/odometer must be installed and functional on all vehicles/equipment/engine;
19. I understand that the District has the right to conduct audit inspections to ensure the project equipment is fully operational and at the activity level committed to in the grant agreement;
20. I certify that the requested funds do not include administrative costs. Administrative costs are defined as costs related to application preparation and submittal, project administration, monitoring, oversight, data gathering, and report preparation. I will provide all funds necessary to cover administrative costs and any required matching funds required for this project;
21. I understand that an IRS Form 1099 may be issued to me for the incentive funds received. I understand that it is my responsibility to determine the tax liability associated with participating in the 2024 Clean Air Grants Program;
22. I have signed and submitted to the District a CARB Regulatory Compliance Statement to certify compliance with all federal, state, and local air quality rules and regulations at the time of application submittal;
23. Agreement to Conduct the Transaction Electronically. Counterparts may be executed and delivered via facsimile, electronic mail (including pdf, digital signature, or any electronic signature complying with the U.S federal ESIGN Act of 2000, California's Uniform Electronic Transactions Act (Cal. Civ. Code 1633.1, et seq.) or other applicable law) or other transmission method, and any counterparts so delivered shall be deemed to have been duly and validity delivered and be valid and effective for all purposes.



I hereby certify to the best of my knowledge that all information provided in this application and any attachments are authentic and accurate:

Name of responsible party:	Title:
Signature of responsible party: _____	Date:

Third Party Certification

I have completed the application, in whole or in part, on behalf of the applicant:

Name of third party:	Title:
Signature of third party: _____	Date:
Amount paid to third party:	Source of funds to third party:

GRANT PROGRAM OUTREACH

How did you hear about the 2024 Clean Air Grants Program? (check all that apply)

- District Website
- District E-mail
- Radio
- Equipment Dealer
- I'm a Returning Applicant
- Word of Mouth
- Other _____

Please let us know your thoughts on the program, and if you think it can be improved.



CALIFORNIA AIR RESOURCES BOARD

REGULATORY COMPLIANCE STATEMENT

As an applicant for California Air Resources Board grant funding, I declare:

I am in compliance with, and will remain in compliance with, and do not have any outstanding/unresolved/unpaid Notices of Violations (NOV) or citations for any federal, state, or local air quality regulation including, but not limited to, the following:

- Crop Handling Equipment Reg
- Drayage Truck Regulation (including dray-off trucks)
- Marine Shore Power Reg
- Portable Diesel Airborne Toxic Control Measure
- Sleeper Berth Truck Idling Reg
- Statewide Engine Airborne Toxic Control Measure
- Statewide Truck and Bus Reg
- Commercial Harbor Craft Reg
- In-Use Off-Road Diesel Vehicle Reg
- Off-Road Large-Spark Ignition Fleet Reg
- Public Agency and Utility Rule
- Solid Waste Collection Vehicle Reg
- Transit Fleet Rule

I certify under penalty of perjury that the information provided is accurate:

Authorized Signature: _____ **Date:** _____

1. Authorized Representative's Name:		
2. Authorized Representative's Title:		
3. Legal Owner Name:		
4. Company Name:		
5. Street Address:		
6. City:	7. State:	8. Zip Code:
9. Phone:		10. E-mail: