EMISSION REDUCTION CREDITS - TRANSFER
APPLICATION FORM –05T

The owner of an ERC Certificate that is registered in the APCD’s Source Register must completely fill in this form and submit it to the APCD each time an ERC Certificate is transferred. Please be specific as to the amount and type of ERCs transferred and which specific emission elements are the sources of the ERCs being transferred. This form must be filled in for each ERC Certificate subject to transfer. An application filing fee per Rule 210 (Schedule F.1) is required. Fill in this form completely. Incomplete forms will be returned.

1. SUMMARY INFORMATION

Certificate No: ___________________________ Expiration Date: ________________

Certificate Owner Name(s): _______________________________________________________

Company ERCs Transferred To: _____________________________________________________

Authorized for Transfer (tons/yr): NOx: ____________ SOx: ____________

ROC: ____________ PM10: ____________

CO: ____________ PM: ____________

Company Official Authorized to Transfer the ERCs: __________________________________

Please Print Name

__________________________________________

Signature

__________________________________________

Phone and Fax Numbers

__________________________________________

E-Mail
2. TRANSFEREE CONTACT INFORMATION (please print)

Name:_________________________________________________________

Company:_____________________________________________________

Address:_______________________________________________________

City:________________________State:__________ZIP:__________

Phone:______________________Fax:________________________

E-Mail:________________________

3. TRANSFER INFORMATION

☐ Yes ☐ No Will the ERC Certificate be transferred in whole?

☐ Yes ☐ No If a partial transfer of the ERC Certificate is occurring, and there is more than one emission element, has the transferor detailed which ERCs are being transferred using the space below?

Specify the ERC Certificate Emission Elements (by name and number), the pollutants and the amounts that comprise the authorized transfer. (if no response is provided, the APCD will select for you):

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

4. COST INFORMATION

(a) Transaction Type¹: ☐ Purchase ☐ Subsidiary

☐ Barter ☐ Other __________________________________________

¹ If barter was involved and/or no money was exchanged for the ERCS, please calculate an equivalent dollar per ton value for the credit transaction. Barters can include one company placing controls on another company to generate ERCS. The price should reflect the total cost to install the equipment and any additional fees paid as part of the agreement between both companies. The price paid should reflect the value of the ERC at the time of the transaction.
(b) ERC Costs:

<table>
<thead>
<tr>
<th>Pollutant</th>
<th>ERCs Used (tpy)</th>
<th>Total Cost ($)</th>
<th>Unit Cost ($/ton)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NO\textsubscript{x}</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>ROC</td>
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<td>CO</td>
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<tr>
<td>PM</td>
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</tbody>
</table>

(c) [ ] Yes  [ ] No  Are the total cost values stated above one time payments? If No, please explain in detail the nature of the payments:

________________________________________________________________________________________

________________________________________________________________________________________

(d) [ ] Yes  [ ] No  Are there any other payment provisions or “in-kind” costs associated with this transaction? If Yes, please detail:  

________________________________________________________________________________________

________________________________________________________________________________________

5. RULE 806 REQUIREMENTS

[ ] Yes  [ ] No  Is this an involuntary transfer? If Yes, please detail  

________________________________________________________________________________________

________________________________________________________________________________________

[ ] Yes  [ ] No  Is the current ERC Certificate enclosed? Note: The APCD will not process the transfer without this submittal.

[ ] Yes  [ ] No  Are all delinquent fees due the APCD by the transferor paid or on an approved schedule of payment? Note: The APCD will not process the transfer until all delinquent fees are paid.

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